

Application of an Optomechanical Image Derotator for Measuring Vibration and Deformation of Rotating Objects

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Abstract

Most rotating machinery parts like roller bearings or jet engine components are subject to high static and dynamic loads during operation. These excitations cause in-plane and out-of-plane deformation and vibration of the machinery parts, which can lead to minor operation performance, higher wear rates and finally the destruction of these components. Hence, it is of interest to acquire appropriate information about the dynamic behaviour of the machinery parts during service. This paper presents an approach of optical vibration and deviation measurement for such components. Essential for this method is an optomechanical image derotator combined with a high speed camera or a Laser Doppler Vibrometer (LDV). This paper first summarizes the principal of the image derotator and the experimental setup for the in-plane and out-of-plane measurements. By the combination of the derotator and the high speed camera, a derotating image of the moving component is generated and thereby the in-plane deviation can be determined. Exemplary for the in-plane measurement method, experimental results of roller slippage of a cylindrical roller bearing under operation conditions is presented. In the case of LDV and the image derotator, the laser beam is guided onto a rotating component and performs a uniform rotational motion. Thereby, it is possible to measure the out-of-plane vibration of the rotating object in a coordinate system that rotates with said object. Following this approach, the results of the vibration measurements of a rotating saw blade by scanning LDV and image derotator will be presented.

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40 **Introduction**

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42 Most rotating machinery parts do not only rotate, they are often subjected to additional
43 excitations. These excitations can lead to minor performance and more wear and finally to the
44 destruction of these components. Hence, it is of interest to have appropriate information about
45 deformations and deviations caused by external excitations. Examples for these effects are
46 processes with cut off wheels, grinding wheels, turbine blades under heavy loads of air or fluid as
47 well as brake discs or bearings under operational conditions. In all these applications, analyses of
48 the dynamic behaviour of rotary machine parts are desirable.

49 Out-of-plane vibrations can be determined by using optical methods like double exposure
50 Holography or Laser-Doppler-Vibrometry (LDV) [1, 2]. The in-plane deflections are commonly
51 measured using image processing techniques or Speckle correlation [3, 4]. These techniques
52 work well for static object and can also be applied to rotating objects. However, to compensate
53 for the rotation of the object, it is necessary to track a measurement point on the object either with
54 image processing techniques or with a laser beam. Therefore, the sample rate of the camera or the
55 maximal tracking speed of the LDV laser beam limit the maximal rotation frequency of the object
56 at which measurements can be carried out. To overcome this drawback, the method that is used in
57 this paper is based on an optomechanical image Derotator and image processing methods as well
58 as Laser-Doppler-Vibrometry.

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60 **Working Principle of the Derotator**

61 The main component of the derotator is a dove prism. As the prism is rotated, the image passing
62 through will rotate at twice the angular rate of the prism (Figure 1). The dove prism is used to
63 eliminate the object rotational movement from the image. To receive an optimal derotated image,
64 the optical axis of the prism, the rotary axis of its drive and the rotary axis of the object must be
65 identical and also the prism must rotate with half of the rotational frequency of the rotating
66 object. The dove prism is located at the centre of a hollow-shafted torque motor. The speed of the
67 motor is controlled by a cascade control implemented in Matlab xPC Target such that the
68 rotational speed of the derotator and the object amounts a ratio of 2:1. Figure 1 shows the optical
69 principle of the derotator.

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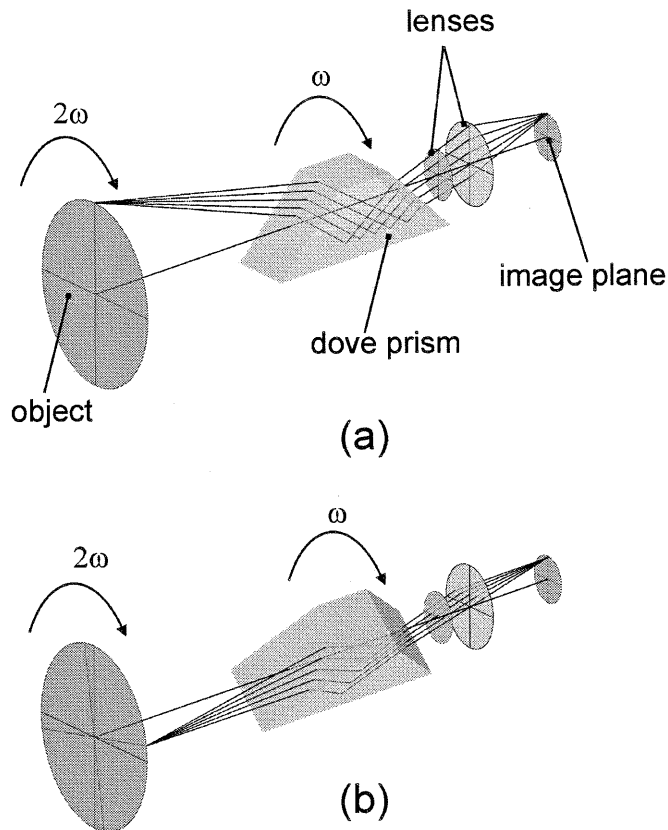


Figure 1: Optical path through the dove prism for two different rotation angles (a) object rotation angle $2\omega = 0^\circ$
 (b) object rotation angle $2\omega = 80^\circ$ [5]

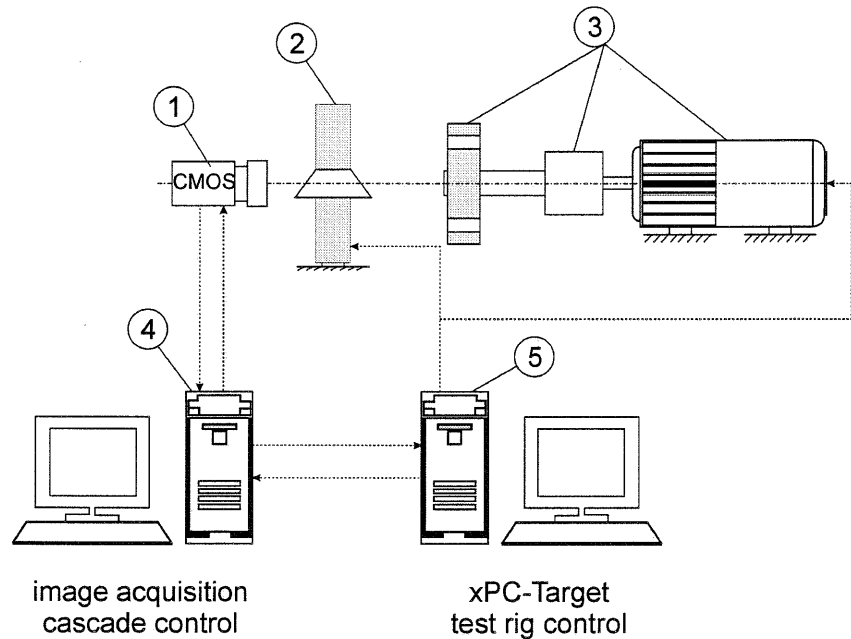
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Application Examples

In-Plane Measurements

As an example for in-plane measurement, the dynamic slippage behaviour of roller elements during bearing operation will be introduced. Slippage happens due to a difference between the theoretical rotational frequency of the roller elements and their actual rotational frequency. Unsteady skidding motions cause damage at the bearing surface. Due to the relative motion, solid body friction occurs. Thereby, during the relative motion of the rolling element on the raceway surface, a sufficient lubricant film can not be generated. The surfaces are not completely separated. As a result, surface damage in terms of smearing occurs. During further operation of the bearing, fatigue and cracks develop at the raceways. Resulting damage affects the operational behaviour and noise emission of the roller bearing significantly. Also, the bearing lifetime can be reduced [7]. Up to now, a limited range of slippage measuring methods for bearings exist [7]. Unfortunately, these methods are complex to apply and only suitable for a small number of bearing types, especially for big-sized bearings (30-60 mm roller diameter) [7]. The majority of bearings in industrial applications have smaller dimensions. Thereby, these bearings are difficult to examine with the present methods. For measuring the roller slippage, it is necessary to measure the real rotational frequency of the roller. The setup, which is used to determine the real

95 rotational frequency within this paper, is shown in figure 2. It consists of a bearing setup, an
 96 image derotator and a high speed camera. The high speed camera is a CMOS-Camera with a
 97 recording frequency of $f = 500$ images/s at a maximum resolution of 1280×1024 pixels.
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Figure 2: Schematic view of the test rig. 1) high speed camera, 2) image derotator, 3) bearing set up 4) image acquisition and host PC for control calculation 5) XPC-Target for control implementation

In this experimental setup, the dove prism rotates with half of the rotational frequency of the bearing cage f_c . Thus, it is possible to receive a stationary view of the cage and to observe the rotational movement of the roller elements around its own axes. For measuring the rotational frequency of the cage, a bar code is placed on the cage and its rotational frequency and direction will be measured with 2 photo electric sensors (Figure 3).

One of the rollers is marked on its surface three times. By tracking the three markers on the roller surface and calculating the distance that each marker covers between two frames, the roller rotational frequency f_{re} is determined. For tracking these markers, digital image processing algorithms are used.

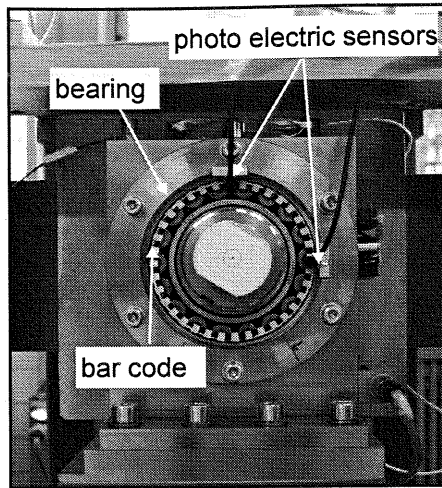
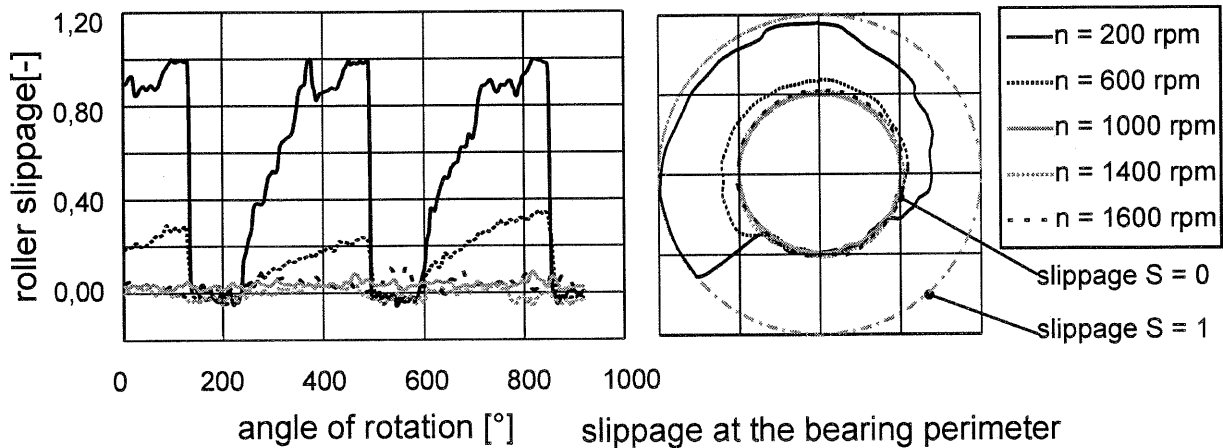


Figure 3: Determine the cage rotational velocity

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 118 During the measurements the shaft velocity and the applied load is varied. The results show, that
 119 this method is very applicable to measure the roller slip. Figure 4 shows exemplary the measured
 120 roller slippage S of the bearing during operation for different shaft rotational speeds n at a load
 121 $F_r = 16$ kN. The value $S = 0$ indicates no slippage. In this case, the roller rotates with the
 122 theoretical rotational speed. The value $S = 1$ indicates the complete sliding of a roller. In this
 123 case, the roller performs no rotation around its axis. The left part of figure 3 shows the roller
 124 slippage over its rotational angle around the bearing. In the right part of the figure the roller
 125 slippage is shown during one revolution of the bearing.
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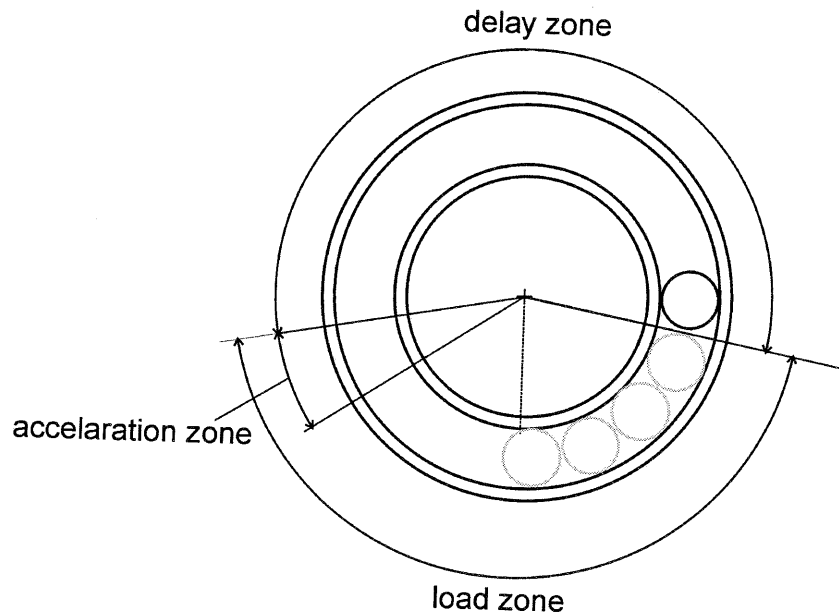


Bearing: Nu216 ECP $F_r = 16$ kN

Figure 4: Influence of the rotational speed on the roller slippage

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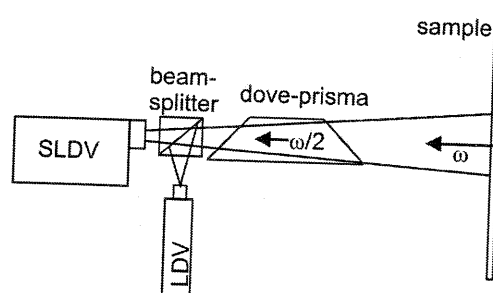
131 In this experimental setup the loaded zone of the bearing is located at the underneath of the
 132 bearing. As the roller arrives at the load zone of the bearing, no slip occurs. The roller rotates
 133 with its theoretical speed. As it leaves the load zone of the bearing, it slows down (delay zone)
 134 and the slip increases at a maximum. Afterwards it accelerates (acceleration zone) until it arrives
 135 again at the load zone. These 3 zones are principally shown in the Figure 5.
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 140 **Figure 5: Roller movement during bearing operation**

141 Additionally it is clearly to see, that with increasing shaft rotational speed a decrease of the roller
 142 slip occurs. One reason for this is the inertia of the roller.
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144 *Out-of-Plane Measurements*
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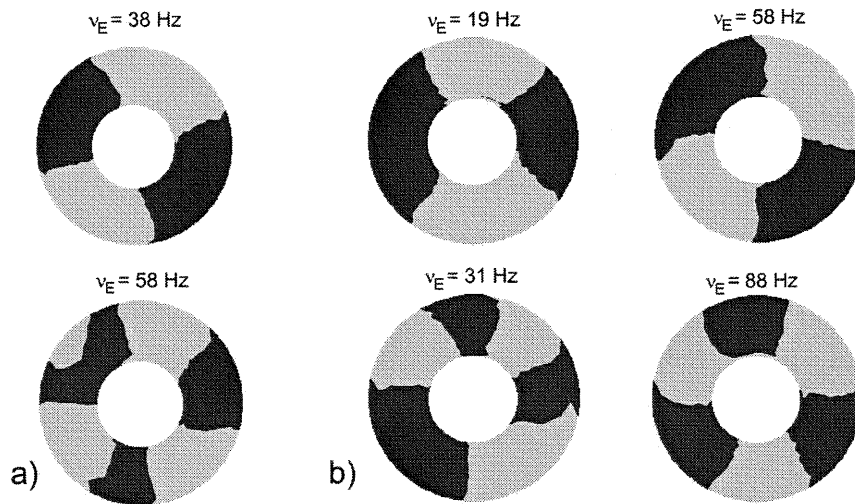
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 149 **Figure 6: Out of Plane Experimental Setup**

150 The experimental setup for the out-of-plane vibration measurements is shown in Figure 6. The
 151 measurement unit consists of two Laser-Doppler-Vibrometers (Polytec PSV400, Polytec PDV
 152 100). A Scanning Laser Doppler Vibrometer (SLDV) is used to determine the vibration at
 153 discrete measurement spots on the rotating object. A second vibrometer (LDV) is applied as a

154 reference vibrometer in order to obtain the phase of the vibrations at different measurement spots
 155 during the scanning process. The laser beams of the vibrometers are directed through the
 156 Derotator (Dove prism) via a beam splitter.

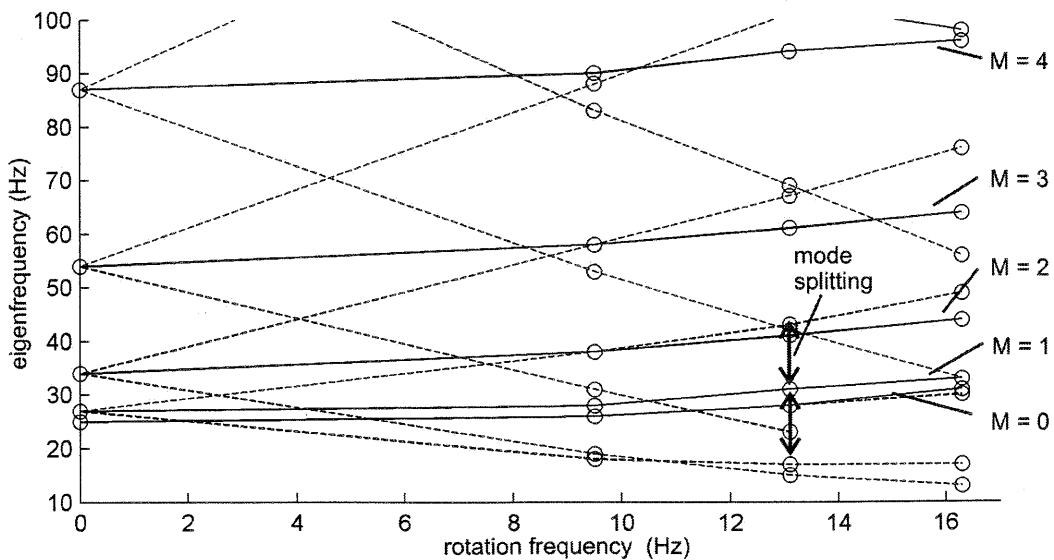
157 In this paper we exemplarily present measurement data, which were obtained using a circular saw
 158 blade as a sample. The diameter of the blade was 1 m and the flange diameter 0,335 m. The
 159 measurements were conducted at rotation frequencies of the blade of $\nu_D = 0$ Hz, 9.7 Hz, 13.1 Hz
 160 and 15.9 Hz. In order to determine the difference between measurements in a sample fixed and a
 161 lab fixed reference coordinated system, the eigenfrequencies and eigenmodes of vibration for
 162 each rotation frequency were measured with and without Derotator. The vibration of the blade
 163 was excited using a shaker.

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Figure 7: eigenmodes and eigenfrequencies ν_E of vibration for a circular saw blade (rotation frequency $(\nu_D = 30$ Hz): a) measured with Derotator, b) measured Derotator.



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Figure 8: Campbell diagram: eigenfrequencies measured with Derotator (solid lines) and without Derotator (dashed lines)

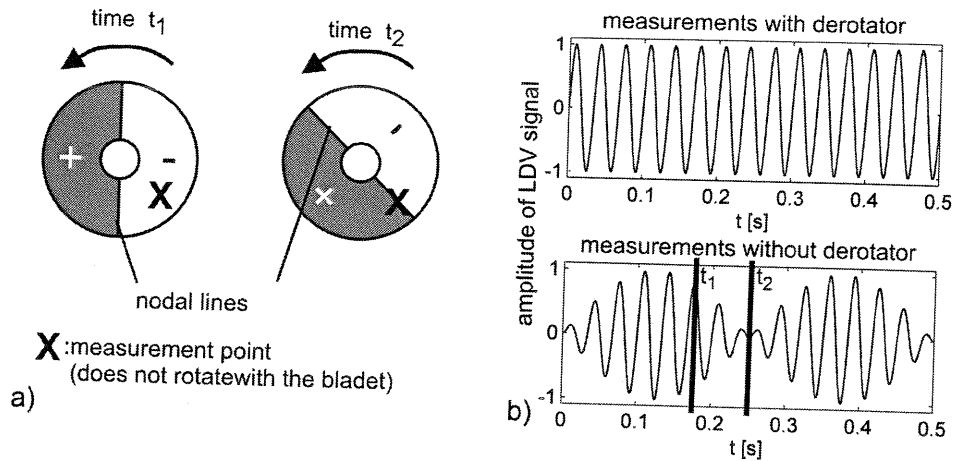


Figure 9: a) sketch of a rotating blade at time t_1 and t_2 ; b) corresponding LDV-Signal measured at the measurement point X with and without Derotator [6]

Some eigenmodes of the saw blade are exemplarily shown in Figure 7 for a rotation frequency of $v_D = 30$ Hz. The corresponding Eigenfrequencies are denoted with v_E . Figure 7a shows Eigenmodes, which are measured using the Derotator (reference coordinate system fixed to the sample), and Figure 7b shows Eigenmodes, which are measured without Derotator (reference coordinate system fixed to the lab). In comparison, the shapes of the Eigenmodes are identical in both cases. However, the corresponding Eigenfrequencies differ and in case of a lab fixed reference coordinate system there are two Eigenfrequencies showing the same shape of the modes of vibration.

The measured eigenfrequencies of the saw blade are shown in Figure 8 as a function of the rotation frequency ($v_D = 0$ Hz, 30 Hz, 40 Hz and 50 Hz). The solid lines correspond to eigenfrequencies, which were measured using the Derotator. The number of nodal lines of the corresponding eigenmodes is given by M . The dashed lines correspond to measurements without Derotator. The results of the measurements using the Derotator (Figure 8, solid lines) show, that if the rotation frequency increases the Eigenfrequencies of the blade increase as well, which is caused by an increasing centrifugal force. The results of the measurements, which were carried out without Derotator, show that the rotation leads to two eigenfrequencies showing the same shape of the eigenmodes (Figure 7). Hence, compared to the eigenfrequencies, which are measured using the Derotator, the eigenfrequencies measured without Derotator split up into two eigenfrequencies leading to two branches in figure 8 (dashed lines). The difference between the two frequencies depends on the rotation frequency and the number of nodal lines M . This effect is known as "mode-splitting". The vibration measurements show that the modes of vibration rotate at the same speed as the blade. If one measures the frequency of vibration at a measurement point that is not fixed to the rotating blade but to the lab, the detected vibration is modulated by the nodal lines passing the measurement point as it is sketched in figure 9. It can be shown [6] that "mode splitting" is caused by the modulation of the measurement signal. Hence, if one wants to determine the Eigenfrequency of a rotating object, the measurement must be performed in a coordinate system that is fixed to the rotating object.

208 Conclusions

209 A method for determining the in-plane and the out-of-plane vibrations and deflections of rotating
210 objects is presented. The method is based on an optomechanical image Derotator, which is
211 combined with image processing techniques and a Laser-Doppler-Vibrometry.

212 The results demonstrate the capability of this measurement device. As an example for out-of-
213 plane measurements, Eigenfrequencies and deflection shapes of a rotating circular saw blade are
214 determined. Experimental investigations show, that the deflection shapes rotate with the blade.
215 The slippage of a roller bearing is investigated as an example of measuring in-plane motion of a
216 rotating object using image processing methods. It is shown that the influence of the operational
217 conditions of the bearing on the roller slippage can be identified with the method presented.

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